

San Antonio Bay Partnership

**The Board of Directors for
The San Antonio Bay Partnership, Inc.
Will meet on
Wednesday, December 19, 2012
1:30 to 3:00 p.m.
700 Main Center, Conference Room 115A
700 N. Main St., Victoria, TX 77901**

(Corner of N. Main St. & North St. – Rm 115A is on W end of hallway at S end of bldg.)

This meeting is open to the public. Participation in the meeting will also be available via conference call – see the next page for dial in instructions.

AGENDA

- I. Welcome, Introductions and Roll Call**
- II. Approval of the Agenda**
- III. Discussion, on Letters of Engagement for SABP, Inc. staff, and possible action thereon
(Attachment A)**
- IV. Financial Report on income, expenses and payables as of October 11, 2012, and
possible action thereon (Attachment B)**
- V. Action Item: Authorizations for Payments (Attachment C)**
- VI. Report on SABP, Inc. application for federal tax-exempt status as a Section 501(c)(3)
charitable organization**
- VII. Upcoming Meetings**
- VIII. Other Business**
- IX. Adjourn**

ATTACHMENTS

- A: Proposed Letters of Engagement for SABP, Inc. Staff
- B: Financial Report for the Period Ending 12-11-12
- C: Payment Authorizations: James Dodson and Laura Raun
- D: Communication with IRS on SABP, Inc. 501(c)(3) application

If you have any questions, please contact James Dodson at 361-649-1518 or
jdodson27@gmail.com

Conference Call Dial-In Procedures

You may join in this meeting by conference call using the following dial-in directions:

- 1) Dial the toll-free conference system access number: **866-590-5055** and
- 2) When prompted, enter the conference access code: **8463538**, then hit the # sign; you will be automatically added to the conference call.

The conference call will be initiated at 11:00am -- you may dial in anytime after that.

ATTACHMENT A:

Proposed Letters of Agreement for
SABP, Inc. Staff

**Letter of Engagement
Project Management and Facilitation Services**

This agreement, dated September 1, 2012, is between James A. Dodson, 410 N. Vine Street, Victoria, Texas, 77901, and the San Antonio Bay Partnership, Inc. (SABP), 405 W. Power Ave., Victoria, TX 77901.

Under this agreement, James A. Dodson will provide project management, facilitation and administration services to the SABP, as follows:

A. Duration

1. This engagement will begin on September 1, 2012, and end on February 28, 2013, with an automatic extension of the term of the engagement if SABP enters into a contract with the Coastal Bend Bays and Estuaries Program (CBBEP) for continuation of the Coastal Management Program (CMP) Habitat Conservation and Coastal Public Access Planning program; the extended term shall be consistent with the term of the new contract with CBBEP.
2. At any time, both sides can decide to extend the contract or renew it at a later date.

B. Services Rendered

1. James A. Dodson will serve as the CMP Habitat Conservation and Coastal Public Access Planning Program Project Manager (CMP Project Manager).
2. Services to be rendered will relate to the CMP Habitat Conservation and Coastal Public Access Planning Program.
3. As CMP Project Manager, James A. Dodson will be responsible for project development and coordination; planning and facilitating stakeholder meetings; project reporting and billing; and maintaining communications with the SABP, Inc. Board and Steering Committee members.

C. Compensation

1. As compensation for the services described herein, the SABP will pay James A. Dodson \$75/hour.
2. Total compensation paid to James A. Dodson and other SABP staff working on the CMP Habitat Conservation and Coastal Public Access Planning Program will not exceed the budget for such services contained in the agreement between SABP and CBBEP for each phase of the CMP Habitat Conservation and Coastal Public Access Planning Program.
3. No fringe benefits will be provided.
4. Project-related travel will be reimbursed @ \$0.50/mi.
5. James A. Dodson will invoice monthly at the beginning of the month following the period when services were rendered.
6. Separate invoices will be submitted for services rendered and for expenses.
7. SABP will make payment to James A. Dodson as soon as possible after receipt of funding from CBBEP in payment of each month's invoice submitted by SABP.

These parties agree to this letter of engagement:

James A. Dodson
Consultant

September 1, 2012
Date

John C. Kivalus, Chairman
Board of Directors, San Antonio Bay Partnership, Inc

Date

**Letter of Engagement
Project Management and Facilitation Services**

This agreement, dated September 1, 2012, is between Laura Raun, 111 W. 8th Street, Austin, Texas, 78701, and the San Antonio Bay Partnership, Inc. (SABP), 405 W. Power Ave., Victoria, TX 77901.

Under this agreement, Laura Raun will provide public information and communications services to the SABP, as follows:

A. Duration

1. This engagement will begin on September 1, 2012, and end on February 28, 2013, with an automatic extension of the term of the engagement if SABP enters into a contract with the Coastal Bend Bays and Estuaries Program (CBBEP) for continuation of the Coastal Management Program (CMP) Habitat Conservation and Coastal Public Access Planning program; the extended term shall be consistent with the term of the new contract with CBBEP.
2. At any time, both sides can decide to extend the contract or renew it at a later date.

B. Services Rendered

1. Laura Raun will serve as the Public Information Specialist for the CMP Habitat Conservation and Coastal Public Access Planning Program, under the direction of the CMP Project Manager
2. Services to be rendered will relate to the CMP Habitat Conservation and Coastal Public Access Planning Program.
3. As Public Information Specialist, Laura Raun will be responsible developing and disseminating project information for public and media consumption; handling media relations; and advising on project-related website content and appearance.

C. Compensation

1. As compensation for the services described herein, the SABP will pay Laura Raun \$75/hour.
2. Total compensation paid to Laura Raun and other SABP staff working on the CMP Habitat Conservation and Coastal Public Access Planning Program will not exceed the budget for such services contained in the agreement between SABP and CBBEP for each phase of the CMP Habitat Conservation and Coastal Public Access Planning Program.
3. No fringe benefits will be provided.
4. Project-related travel will be reimbursed @ \$0.50/mi.
5. Laura Raun will invoice monthly at the beginning of the month following the period when services were rendered.
6. Separate invoices will be submitted for services rendered and for expenses.
7. SABP will make payment to Laura Raun as soon as possible after receipt of funding from CBBEP in payment of each month's invoice submitted by SABP.

These parties agree to this letter of engagement:

Laura Raun
Consultant

September 1, 2012
Date

John C. Kivalus, Chairman
Board of Directors, San Antonio Bay Partnership, Inc

Date

ATTACHMENT B:

Financial Report for the Period Ending 12-11-12

San Antonio Bay Partnership, Inc.
Financial Report for Period Ending 12-17-12

Account 1: CMP-CBBEP Project Funds

Income:

Donation from City of Victoria Environmental Services Division	\$1,000.00
Donation from San Antonio River Authority (Challenge Grant)	\$4,000.00*
* Includes \$850.00 previously paid by SARA for fees associated with the submittal of the 501(c)(3) application for IRS determination of status of SABP, Inc. as a charitable organization	
Payment from Coastal Bend Bays and Estuaries Program (CBBEP) for SABP Inv. #1 to CBBEP for Texas Coastal Management Program (CMP) project facilitation services – March 2012	\$2,767.50
Payment from CBBEP on SABP Inv. #2 to CBBEP for CMP project facilitation services – April 2012	\$ 540.00
Payment from CBBEP on SABP Inv. #3 to CBBEP for CMP project facilitation services – May 2012	\$2,422.50
Payment from CBBEP on SABP Inv. #4 to CBBEP for CMP project facilitation services – June 2012	\$1,125.00
Payment from CBBEP on SABP Inv. #5 to CBBEP for CMP project facilitation services – July 2012	\$ 3,360.00
Payment from CBBEP on SABP Inv. #6 to CBBEP for CMP project facilitation services – August 2012	\$ 4,545.00
Transfer of balance in Account 2, per Board direction 11-9-12	\$ 1,082.67
Payment from CBBEP on SABP Inv. #6 to CBBEP for CMP project facilitation services – September 2012	\$ 7,398.75
Donation from San Antonio River Authority (Challenge Grant) Matching income from San Antonio Bay Day event	\$ 1,000.00
Payment from CBBEP on SABP Inv. #7 to CBBEP for CMP project facilitation services – October 2012	<u>\$ 3,952.50</u>
Total Account 1 Income:	<u>\$33,193.92</u>

SABP, Inc. Financial Report for Period Ending 12-17-12

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Account 1: CMP-CBBEP Project Funds, continued

Expenses - Paid:

Application fee for 501(c) (3) determination by IRS (paid directly by SARA)	\$ 850.00
Laura Raun Invoice for March 2012	\$ 367.50
Laura Raun Invoice for April 2012	\$ 615.50
Laura Raun Invoice for May 2012	\$ 623.00
Laura Raun Invoice for June 2012	\$ 300.50
Laura Raun Invoice for July 2012	\$ 411.50
Laura Raun Invoice for August 2012	\$ 1,935.75
James Dodson Invoice for March 2012	\$2,400.00
James Dodson Invoice for April 2012	\$ 67.87
James Dodson Invoice for May 2012	\$ 1,943.57
James Dodson Invoice for June 2012	\$ 932.01
James Dodson Invoice for July 2012	\$ 3,097.34
James Dodson Invoice for August 2012	\$ 4,629.76
Printing of Checking Account Deposit Tickets (10-11-12)	\$ 32.09
Total Account 1 Expenses Paid:	\$18,206.39

Account 1 – Balance:

Income to date:	\$33,193.92
Expenses to date:	<u>\$18,206.39</u>
Account 1 Balance:	\$14,987.53

Expenses Encumbered:

Laura Raun Invoice for September 2012	\$ 4,398.75
Laura Raun Invoice for October 2012	\$ 952.50
James Dodson Invoice for September 2012	\$ 3,000.00
James Dodson Invoice for October 2012	\$ 3,000.00
James Dodson Invoice for Expenses – September thru October 2012	\$ 244.86
Total Expenses Encumbered:	\$11,596.11

Account 1 Balance after Receipt of Income Outstanding and Payment of Expenses

Encumbered: **\$ 3,391.42**

SABP, Inc. Financial Report for Period Ending 12-17-12

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Account 2: San Antonio Bay Day Project Funds

Income:

Donation from San Antonio River Authority	\$ 750.00
Donation from First Victoria National Bank	\$ 750.00
Donation from CBBEP	\$ 200.00
Donation from Roy Foley	\$ 200.00
Registration Fees	\$ 140.00
SA Bay Day T-shirt Sales	\$ 30.00
Donations Received at Event	\$ 45.00
SA Bay Day T-shirt sales at 10-15-12 Board meeting	<u>\$ 140.00</u>
Total Account 2 Income:	\$2,255.00

Expenses - Paid:

T-Shirts (Compadres Ink Inv. #1516 for art and printing of 100 t-shirts)	\$ 788.06
City of Seadrift fee for use of Bay Front Pavilion	\$ 25.00
Printing – SA Bay Day Flyer (20 copies, 2-sided color @ Office Depot)	\$ 21.22
SA Bay Day Banner (Compadres Ink Inv. #1572 for printing banner)	\$ 263.05
Food & drinks (from Sam's Club & HEB)	<u>\$ 75.00</u>
Total Account 2 Expenses Paid:	\$1,172.33

Account 2 Balance:

Income:	\$2,255.00
Less Expenses Paid:	\$1,172.33
Transferred to Account 1:	<u>\$ 1,082.67</u>
Balance:	\$ 0.00

SABP, Inc. Financial Report for Period Ending 12-17-12

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Checking Account Transactions and Balance:

<u>Date</u>	<u>Item</u>	<u>Amount</u>
3-20-12	Deposit (City of Victoria Donation)	\$ 1,000.00 (+)
5-8-12	Deposit (SARA Donation)	\$ 3,150.00 (+)
5-11-12	Deposit (CBBEP Pmt on SABP Inv 1)	\$ 2,767.50 (+)
5-22-12	Check #1001 (pd LR Inv's 1 & 2)	\$ 983.00 (-)
5-22-12	Check #1001 (pd JD Inv's 1 & 2)	\$ 2,467.87 (-)
6-8-12	Deposit (CBBEP Pmt on SABP Inv 2)	\$ 540.00 (+)
7-9-12	Deposit (CBBEP Pmt on SABP Inv 3)	\$ 2,422.50 (+)
7-18-12	Deposit (CBBEP Pmt on SABP Inv 4)	\$ 1,125.00 (+)
7-24-12	Check #1003 (pd LR Inv 3 & 4)	\$ 923.50 (-)
7-24-12	Check #1004 (pd JD Inv 3 & 4)	\$ 2,875.58 (-)
8-21-12	Check #1005 (pd LR Inv 5)	\$ 411.50 (-)
8-21-12	Check #1006 (pd JD Inv 5)	\$ 3,097.34 (-)
8-23-12	Deposit (SARA donation for Bay Day)	\$ 750.00 (+)
8-24-12	Deposit (CBBEP Pmt on SABP Inv 5)	\$ 3,360.00 (+)
9-24-12	Deposit (CBBEP Pmt on SABP Inv 6 & donation)	\$ 4,745.00 (+)
9-24-12	Deposit (CBBEP check for FVNB Bay Day donation)	\$ 750.00 (+)
9-25-12	Deposit (Chks for Foley Donation & Berger registr)	\$ 300.00 (+)
10-1-12	Deposit (SA Bay Day Receipts)	\$ 115.00 (+)
10-9-12	Check #1007 (pd LR Inv 6)	\$ 1,935.75 (-)
10-9-12	Check #1008 (pd JD Inv 6)	\$ 4,629.76 (-)
10-9-12	Check #1009 (pd JD SA Bay Day expenses)	\$ 1,172.33 (-)
10-11-12	Account Debit: Fee for printing deposit slips	\$ 32.09 (-)
10-16-12	Deposit (Income from T-shirt sales on 10-9-12)	\$ 140.00(+)
12-05-12	Deposit (CBBEP Pmt on SABP Inv 7)	\$ 7,398.75(+)
12-17-12	Deposit (SARA Donation)	\$ 1,000.00(+)
12-17-12	Deposit (CBBEP Pmt on SABP Inv 8)	\$ <u>3,952.50(+)</u>

Checking Account Current Balance: \$ 14,987.53

Reconciliation:

<i>Balance: Account 1 – CMP Projects</i>	\$ 14,987.53
<i>Balance: Account 2 – SA Bay Day Project (transferred to Acct 1)</i>	\$ <u>0.00</u>
Combined Balance:	\$ 14,987.53
Checking Account Balance:	\$ <u>14,987.53</u>
Difference:	\$ 0.00

ATTACHMENT C:

Payment Authorizations

Payment Authorization

Date: December 17, 2012

Remit to: Laura Raun

For: Professional Services (Public Information/Media Relations)
Habitat Conservation and Coastal Public Access Planning Project

Amounts:	Invoice -- September 2012	\$ 4,398.75
	Invoice -- October 2012	<u>\$ 952.50</u>
	Total Amount:	\$ 5,351.25

The above total amount has been authorized for payment by action of Board of Directors of the San Antonio Bay Partnership, Inc. on December 19, 2012.

Paid by Check No. 1010

Attest:

Terry Baiamonte, Secretary-Treasurer

Date

INVOICE

Date: November 16, 2012

Inv # SAB092012

To: Ms. Terry Baiamonte, Secretary-Treasurer
San Antonio Bay Partnership, Inc.
405 W. Power Ave.
Victoria, TX 77901

Re: Planning Facilitation Services – San Antonio Bay Partnership, Inc.,
CMP Habitat Conservation and Public Access Planning Project
CBBEP Contract No. 1224

Billing Period: September 1 – 30, 2012

Total Hours: 58.65 (See attached timesheet)

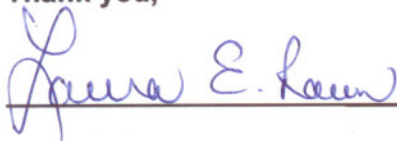
Hourly Rate: \$75.00

Amount Due: **\$4,398.75**

Remit to: Laura E. Raun
206 Fletcher St.
Austin, TX 78704

Tax ID #: 467-86-1598 (SSN)

Thank you,

 **11.16.12**

Laura E Raun

Date

206 Fletcher St.
Austin, TX 78704
512.583.0929
laura@raunpr.com

MONTHLY GRANT PROJECT TIME SHEET

Project Name: San Antonio Bay Partnership Habitat Conservation and Public Access Plan -- Phase II
Employee Name: Laura Raun
Month and Year: Sep-12

PLEASE PROVIDE # OF HOURS & BRIEF DESCRIPTION OF WORK PERFORMED (i.e. progress report, project meeting, planting, outreach event, field trip, etc.)

Saturday	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday
1 3.5 hrs Worked on SABP new release and SA Bay Day flyer	2	3 hrs	4 0.3 hrs Coordinated on SARA newspaper ad for SA Bay Day	5 2.0 Communication on SA Bay Day planning	6 0.3 Drafted materials for article to submit to Dolphin Talk newspaper in Port O'Connor	7 4.7 Prepared SA Bay Day outreach materials
8 3.0 hrs Worked on feature article on SABP for Dolphin Talk newspaper	9 1.5 hrs Sent feature article on SABP for Dolphin Talk newspaper to JD for review	10 5.7 Sent feature article on SABP to Dolphin Talk newspaper for publication	11 1.6 Participated in conf call on SA Bay Day planning	12 3.0 Worked on content and design of SA Bay Day flyer	13 2.6 Worked on opinion piece for Victoria Advocate and SA Bay Day flyer	14 2.9 Communication with Dolphin Talk about online version of feature article; worked on SA Bay Day outreach materials
15 hrs	16 0.2 hrs Made edits to SA Bay Day flyer	17 5.0 Worked on contact list for SABP use and media coverage of event, including opinion piece in Victoria Advocate	18 2.0 Coordinated with Victoria Advocate on SA Bay Day newspaper ad being donated	19 1.8 Worked on media issues and outreach for SA Bay Day	20	21 2.1 hrs Designed and created new SABP Facebook page
22 hrs	23 hrs	24 2.1 Edited SABP Facebook page; participated in SA Bay Day planning conf call	25 1.7 Revised SA Bay Day outreach materials; sent news release to CC Caller-Times outdoor editor	26 0.9 Launched Facebook page	27 2.0 Prepared and distributed "in the event of rain -- SA Bay Day will go on" news release	28 2.0 Updated contact list with info on other organizations; worked on Facebook page issues
29 6.75 hrs Provided on site assistance with public information and logistics at SA Bay Day event	30 1.0 hrs Worked on follow up publicity and coverage of SA Bay Day event	31 hrs				

CALCULATION FOR SALARY/HOURLY:

<u>58.65</u> X	<u>75</u> =	<u>\$4,398.75</u>
TOTAL # HOURS	HOURLY RATE	
<u>4398.75</u> X	<u>0.00%</u> =	<u>0</u>
TOTAL SALARY/HOURLY WAGE FOR MONTH	FRINGE BENEFIT RATE/ HOURLY FRINGE BENEFIT RATE (Not to exceed 35%)	

I certify that this information is correct.

Employee Signature: _____

Date: 11.16.12

Project Manager Signature: _____

Date: 11-16-12

INVOICE

Date: November 16, 2012

Inv # SAB102012

To: Ms. Terry Baiamonte, Secretary-Treasurer
San Antonio Bay Partnership, Inc.
405 W. Power Ave.
Victoria, TX 77901

Re: Planning Facilitation Services – San Antonio Bay Partnership, Inc.,
CMP Habitat Conservation and Public Access Planning Project
CBBEP Contract No. 1224

Billing Period: October 1 – 31, 2012

Total Hours: 12.70 (See attached timesheet)

Hourly Rate: \$75.00

Amount Due: **\$952.50**

Remit to: Laura E. Raun
206 Fletcher St.
Austin, TX 78704

Tax ID #: 467-86-1598 (SSN)

Thank you,

_____ **11.16.12**

Laura E Raun

Date

206 Fletcher St.
Austin, TX 78704
512.583.0929
laura@raunpr.com

MONTHLY GRANT PROJECT TIME SHEET

Project Name: San Antonio Bay Partnership Habitat Conservation and Public Access Plan -- Phase II
Employee Name: Laura Raun
Month and Year: Oct-12

PLEASE PROVIDE # OF HOURS & BRIEF DESCRIPTION OF WORK PERFORMED (e.g. progress report, project meeting, planting, outreach event, field trip, etc.)

Monday	Tuesday	Wednesday	Thursday	Friday	Saturday	Sunday
1 4.0 Worked on follow up to SA Bay Day event	2 _____	3 _____	4 0.8 Worked on SABP Facebook page and proposed Flickr account	5 2.3 Worked on SABP website to allow easier updates and uploading of materials	6 _____	7 _____ hrs
8 5.3 Worked on follow up to SA Bay Day event and SABP website	9 0.3 Reviewed Flickr account and proposed modifications to SABP website	10 _____	11 _____	12 _____	13 _____ hrs	14 _____ hrs
15 _____ hrs	16 _____	17 _____	18 _____ hrs	19 _____ hrs	20 _____ hrs	21 _____ hrs
22 _____ hrs	23 _____ hrs	24 _____ hrs	25 _____ hrs	26 _____ hrs	27 _____ hrs	28 _____ hrs
29 _____ hrs	30 _____	31 _____ hrs	_____	_____	_____	_____

CALCULATION FOR SALARY/HOURLY:

$\frac{12.7}{\text{TOTAL \# HOURS}} \times$	$\frac{75}{\text{HOURLY RATE}} =$	$\frac{952.5}{\text{TOTAL SALARY/HOURLY WAGE FOR MONTH}}$
$\frac{952.5}{\text{TOTAL SALARY/HOURLY WAGE FOR MONTH}} \times$	$\frac{0.00\%}{\text{FRINGE BENEFIT RATE/HOURLY FRINGE BENEFIT RATE (Not to exceed 35\%)}} =$	$\frac{0}{\text{TOTAL SALARY/HOURLY WAGE FOR MONTH}}$

I certify that this information is correct.

Employee Signature: 

Date: 11.16.12

Project Manager Signature: 

Date: 11-16-12

Payment Authorization

Date: December 17, 2012

Remit to: James Dodson

For: Professional Services (Project Management and Facilitation)
Habitat Conservation and Coastal Public Access Planning Project

Amounts:	Invoice – September 2012	\$ 3,000.00
	Invoice – October 2012	<u>\$ 3,000.00</u>
	Total Amount:	\$ 6,000.00

The above total amount has been authorized for payment by action of the Board of Directors of the San Antonio Bay Partnership, Inc. on December 19, 2012.

Paid by Check No. 1011

Attest:

Terry Baiamonte, Secretary-Treasurer

Date

JAMES A. DODSON

INVOICE

To: Ms. Terry Baiamonte, Secretary-Treasurer
San Antonio Bay Partnership, Inc.
405 W. Power Ave.
Victoria, TX 77901

Date: November 27, 2012

Re: Planning Facilitation Services – San Antonio Bay Partnership, Inc.,
CMP Habitat Conservation and Public Access Planning Project
CBBEP Contract No. 1224

Billing Period: September 1 – 30, 2012

Total Hours: 40.0 (See attached timesheets)

Hourly Rate: \$75.00

Amount Due: **\$3,000.00**

Remit to: James A. Dodson
405 W. Power Ave.
Victoria, Texas 77901

Tax ID #: 453-88-2386 (SSN)

Thank you,



James A. Dodson

11-27-2012

Date

405 W. Power Ave.
Victoria, Texas 77901
361-649-1518
jdodson27@gmail.com

MONTHLY GRANT PROJECT TIME SHEET

Project Name: San Antonio Bay Partnership Habitat Conservation and Public Access Plan -- Phase I
Employee Name: James Dodson
Month and Year: Sep-12

PLEASE PROVIDE # OF HOURS & BRIEF DESCRIPTION OF WORK PERFORMED (e.g. progress report, project meeting, planting, outreach event, field trip, etc.)

Saturday	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday
1 hrs	2	3 hrs	4 hrs	5 4 hrs Prepared for and facilitated SA Bay Day planning meeting	6 2 hrs Communication on CMP grant, Phase II contract with CBBEP	7 3 hrs Preparation of FSR and supporting materials for Aug 2012; communication on SA Bay Day
8 hrs	9 hrs	10 3 hrs Reviewed L Raun's feature piece on SABP for Dolphin Talk; attended Mission-Aransas NERR Collaborative Science Stakeholder mtg in Rockport	11 2 hrs Communication on info materials for SA Bay Day; facilitated planning meeting; prepared and submitted final FSR and invoice for Aug 2012	12 2 hrs Meeting with Victoria Advocate re: SA Bay Day publicity materials	13 3 hrs Made a presentation to Calhoun County Commissioners Court meeting; visited Seadrift to research SA Bay Day event sites; communication on SA Bay Day events	14 2 hrs Prepared and submitted Phase I Project Summary Report; updated SABP website
15 hrs	16 hrs	17 2 hrs Communication on SA Bay Day event; update to CMP funding report	18 2 hrs Review of materials for SABP opinion piece; communication on SA Bay Day	19 2 hrs Site visit for SA Bay Day birding tour	20 2 hrs Preparation of maps and materials, communication on SA Bay Day	21 hrs
22 hrs	23 hrs	24 2 hrs Prepared for and facilitated planning mtg for SA Bay Day	25 3 hrs Communication on planning and info materials for SA Bay Day; interview for SARA documentary film re: SABP	26 1 hrs Meeting with Leo T and Rosario M on CMP project contract	27 2 hrs Final preparations for SA Bay Day	28 3 hrs Attended TGLO CMP Tech Adv Cmte meeting for Region 2 in Victoria
29 hrs	30 hrs	31 hrs				

CALCULATION FOR SALARY/HOURLY:

<u>40</u>	X	<u>75</u>	=	<u>3000</u>
TOTAL # HOURS		HOURLY RATE		
<u>3000</u>	X	<u>0.00%</u>	=	<u>0</u>
TOTAL SALARY/HOURLY WAGE FOR MONTH		FRINGE BENEFIT RATE/ HOURLY FRINGE BENEFIT RATE (Not to exceed 35%)		

I certify that this information is correct.

Employee Signature: James A. Dodson
 Project Manager Signature: James A. Dodson

Date: 11-16-12
 Date: 11-16-12

JAMES A. DODSON

INVOICE

To: Ms. Terry Baiamonte, Secretary-Treasurer
San Antonio Bay Partnership, Inc.
405 W. Power Ave.
Victoria, TX 77901

Date: November 27, 2012

Re: Planning Facilitation Services – San Antonio Bay Partnership, Inc.,
CMP Habitat Conservation and Public Access Planning Project
CBBEP Contract No. 1224

Billing Period: October 1 – 31, 2012

Total Hours: 40.0 (See attached timesheets)

Hourly Rate: \$75.00

Amount Due: **\$3,000.00**

Remit to: James A. Dodson
405 W. Power Ave.
Victoria, Texas 77901

Tax ID #: 453-88-2386 (SSN)

Thank you,



James A. Dodson

11-27-2012

Date

405 W. Power Ave.
Victoria, Texas 77901
361-649-1518
jdodson27@gmail.com

MONTHLY GRANT PROJECT TIME SHEET

Project Name: San Antonio Bay Partnership Habitat Conservation and Public Access Plan -- Phase I
Employee Name: James Dodson
Month and Year: Oct-12

PLEASE PROVIDE # OF HOURS & BRIEF DESCRIPTION OF WORK PERFORMED (e.g. progress report, project meeting, planting, outreach event, field trip, etc.)

Monday	Tuesday	Wednesday	Thursday	Friday	Saturday	Sunday
1 2 hrs Follow up on SA Bay Day -- development of SABP blog for Victoria Advocate	2 2 Posting items to SABP website	3 4 hrs Published SABP blog on Victoria Advocate; preparation of agenda packet for 10-9 SABP mtgs	4 3 hrs Attended USFWS meeting on whooping crane census methodology in Fulton	5 4 hrs Communication on CMP grant administration and Status and Trends Reports	6 2 hrs Prepared financial report for SABP, Inc.	7 hrs
8 2 hrs Communication on SABP meetings on 10-9	9 4 hrs Prepared for and attended SABP Finance & Board mtgs	10 hrs	11 hrs	12 hrs	13 hrs	14 hrs
15 hrs	16 2 hrs Prepared draft agenda for 10-31 planning workshop	17 2 hrs Finalized and distributed workshop agenda; posted materials to SABP website	18 hrs	19 hrs	20 hrs	21 hrs
22 hrs	23 hrs	24 hrs	25 4 hrs Worked on updates to SABP e-mail distribution list; prepared and posted piece on planning workshop to SABP blog in Victoria Advocate	26 hrs	27 hrs	28 hrs
29 hrs	30 4 hrs Attended Guadalupe-San Antonio BBASC meeting in Victoria	31 5 hrs Prepared for and facilitated SABP Planning Workshop in Victoria				

CALCULATION FOR SALARY/HOURLY:

<u>40</u>	X	<u>75</u>	=	<u>3000</u>	
TOTAL # HOURS		HOURLY RATE			4
<u>3000</u>	X	<u>0.00%</u>	=	<u>0</u>	
TOTAL SALARY/HOURLY WAGE FOR MONTH		FRINGE BENEFIT RATE/ HOURLY FRINGE BENEFIT RATE (Not to exceed 35%)			

I certify that this information is correct.

Employee Signature:

James A. Dodson

Date: 11-21-12

Project Manager
Signature

James A. Dodson

Date: 11-21-12

Payment Authorization

Date: December 19, 2012

Remit to: James Dodson

For: Expenses incurred Sept. 1 through Oct. 31, 2012 in Association with
Project Management and Facilitation Habitat Conservation and Coastal
Public Access Planning Project

Amounts: Invoice – Nov. 27, 2012 \$ 244.86
Total Amount: **\$ 244.86**

The above total amount has been authorized for payment by action of the Board of Directors of the San Antonio Bay Partnership, Inc. on December 19, 2012.

Paid by Check No. 1012

Attest:

Terry Baiamonte, Secretary-Treasurer

Date

JAMES A. DODSON

INVOICE

To: Ms. Terry Baiamonte, Secretary-Treasurer
San Antonio Bay Partnership, Inc.
405 W. Power Ave.
Victoria, TX 77901

Date: November 27, 2012

Re: Expenses Incurred – Conference call and copying charges for SABP meetings

Billing Period: September 1 thru October 31, 2012

Amount Due: **\$244.86** (See attached receipts)

Remit to: James A. Dodson
405 W. Power Ave.
Victoria, Texas 77901

Tax ID #: 453-88-2386 (SSN)

Thank you,



11-27-2012

James A. Dodson

Date

405 W. Power Ave.
Victoria, Texas 77901
361-649-1518
jdodson27@gmail.com



ACCOUNT ID: 22714283-00001
 CUSTOMER: ATTN: JAMES DODSON
 GROUNDSWELL ENTERPRISES
 ACCOUNT CODE: UNKNOWN

BILL DATE: OCT 01 2012

DETAIL OF SERVICE USAGE

____ITEM____ __QTY__ __TYPE__ __DATE__ __TIME__ __MINUTES__ __TOTAL__

AUDIO DIAL-IN TELECONFERENCES

CONFERENCE: PJD1117 VISA 1932
 HOST NAME: JAMES DODSON RESERVED MINUTES: 90
 HOST NUMBER: 361-649-7442 RESERVED CONNECTIONS: 10 DIAL-IN

S.A. Bay Day Planning Mtg.

1. CONFEREE	361-649-1518	RSVLDIUSA	09/05/2012	04:12pm	48	4.08
2. CONFEREE	512-797-9925	RSVLDIUSA	09/05/2012	04:17pm	44	3.74
3. CONFEREE	361-882-5633	RSVLDIUSA	09/05/2012	04:19pm	42	3.57

SUBTOTAL 134 11.39
 TAXES 0.80

TOTAL FOR CONFERENCE ID: PJD1117 134 \$12.19

CONFERENCE: PJD2096 VISA 1932
 HOST NAME: JAMES DODSON RESERVED MINUTES: 90
 HOST NUMBER: 361-649-7442 RESERVED CONNECTIONS: 10 DIAL-IN

S.A. Bay Day Planning Mtg.

1. CONFEREE	512-797-9925	RSVLDIUSA	09/11/2012	04:11pm	73	6.21
2. CONFEREE	361-649-1518	RSVLDIUSA	09/11/2012	04:11pm	73	6.21
3. CONFEREE	361-935-2322	RSVLDIUSA	09/11/2012	04:26pm	58	4.93

SUBTOTAL 204 17.35
 TAXES 1.20

TOTAL FOR CONFERENCE ID: PJD2096 204 \$18.55

CONFERENCE: PJD8166 VISA 1932
 HOST NAME: JAMES DODSON RESERVED MINUTES: 90
 HOST NUMBER: 361-649-7442 RESERVED CONNECTIONS: 10 DIAL-IN

S.A. Bay Day Planning Mtg.

1. CONFEREE	512-797-9925	RSVLDIUSA	09/24/2012	04:08pm	2	0.17
2. CONFEREE	361-649-1518	RSVLDIUSA	09/24/2012	04:08pm	2	0.17

SUBTOTAL 4 0.34
 TAXES 0.02

TOTAL FOR CONFERENCE ID: PJD8166 4 \$0.36



ACCOUNT ID: 22714283-00001
 CUSTOMER: ATTN: JAMES DODSON
 GROUNDSWELL ENTERPRISES
 ACCOUNT CODE: UNKNOWN

BILL DATE: OCT 01 2012

DETAIL OF SERVICE USAGE

____ITEM____ _QTY_ _TYPE_ _DATE_ _TIME_ _MINUTES_ _TOTAL_

AUDIO DIAL-IN TELECONFERENCES

CONFERENCE: PJD7429 VISA 1932
 HOST NAME: JAMES DODSON RESERVED MINUTES: 90
 HOST NUMBER: 361-649-7442 RESERVED CONNECTIONS: 10 DIAL-IN

S.A. Bay Day Planning mtg.

1. CONFEREE	361-894-7488	RSVLDIUSA	09/24/2012	04:11pm	1	0.09
2. CONFEREE	512-797-9925	RSVLDIUSA	09/24/2012	04:12pm	66	5.61
3. CONFEREE	361-894-7488	RSVLDIUSA	09/24/2012	04:12pm	66	5.61

SUBTOTAL 133 11.31
 TAXES 0.79

TOTAL FOR CONFERENCE ID: PJD7429 133 \$12.10

CALL TYPE CONFERENCE SUMMARY

TOTAL FOR ACCOUNT CODE: UNKNOWN

CALL TYPE	CONFERENCES	CONNECTIONS	MINUTES	CHARGES
RESERVATIONLESS: -800 Auto	4	11	475	\$43.20





ACCOUNT ID: 22714283-00001
 CUSTOMER: ATTN: JAMES DODSON
 GROUNDSWELL ENTERPRISES
 ACCOUNT CODE: UNKNOWN

BILL DATE: NOV 01 2012

DETAIL OF SERVICE USAGE

___ITEM___ ___QTY___ ___TYPE___ ___DATE___ ___TIME___ ___MINUTES___ ___TOTAL___

AUDIO DIAL-IN TELECONFERENCES

CONFERENCE: PJD2588 VISA 1932 RESERVED MINUTES: 90
 HOST NAME: JAMES DODSON RESERVED CONNECTIONS: 10 DIAL-IN
 HOST NUMBER: 361-649-7442

SABP, Inc. Finance Cmte & Board mtg

1. CONFEREE	361-570-4868	RSVLDIUSA	10/09/2012	03:04pm	21	1.79
SUBTOTAL					21	1.79
TAXES						0.12
TOTAL FOR CONFERENCE ID: PJD2588					21	\$1.91

CALL TYPE CONFERENCE SUMMARY

TOTAL FOR ACCOUNT CODE: UNKNOWN

CALL TYPE	CONFERENCES	CONNECTIONS	MINUTES	CHARGES
RESERVATIONLESS: -800 Auto	1	1	21	\$1.91

Copies for SA Bay Day

OFFICE DEPOT STORE #539
5106 N NAVARRO ST
VICTORIA, TX 77902
(361) 572-8999

09/28/2012 12.3 8:45 AM
STR 539 REG3 TRN 3720 EMP 587486

SALE

Product ID	Description	Total
166962	Color SS Lette	
368 @ 0.59		217.12
Bulk @ 0.49		-36.80
Coupon - 38443298		-44.16
	You Pay	136.16SS
167060	BW SS Letter	
67 @ 0.11		7.37
Coupon - 38443298		-2.68
	You Pay	4.69SS
166493	Coil Binding 1	2.99
Coupon - 38443298		-0.76
	You Pay	2.23SS
165989	Clear Front	0.59
Coupon - 38443298		-0.15
	You Pay	0.44SS
166185	Regency Cover	0.79
Coupon - 38443298		-0.20
	You Pay	0.59SS
167060	BW SS Letter	
27 @ 0.11		2.97
Coupon - 38443298		-0.81
	You Pay	2.16SS
166493	Coil Binding 1	2.99
Coupon - 38443298		-0.75
	You Pay	2.24SS

Coupon Number - 38443298

Subtotal:	148.51
Sales Tax:	12.25
Total:	160.76
Visa 1932:	160.76

DODSON, JAMES 1618169188

Ready to get Rewarded faster?

To receive Rewards electronically

update your member profile online at

www.myworkliferewards.com

OFFICE DEPOT STORE #539
5106 N NAVARRO ST.
VICTORIA, TX 77902
(361) 572-8999

10/09/2012 12.3 12:33 PM
STR 539 REG3 TRN 5636 EMP 628417

SALE *Copies for 10-9-12 SABP Band*
Product ID Description Total
167060 BW SS Letter 49.50
450 @ 0.11 49.50
Bulk @ 0.08 49.50
You Pay 36.00SS
861775 STAPLINGCORNER 0.02 SS

Subtotal: 36.02
Sales Tax: 2.97
Total: 38.99
Visa 1932: 38.99

DODSON, JAMES 1518169188

Ready to get Rewarded faster?

To receive Rewards electronically

update your member profile online at

www.myworkliferewards.com

ATTACHMENT D:

Communication from IRS on SABP, Inc.
501(c)(3) Application

FAX Cover Memo

To: Internal Revenue Service, Exempt Organizations
Attn: Ms. Ginger Jones, Exempt Organizations Specialist
Group No. 7821, ID# 203152

FAX #: 859-669-3783 **No. of Pages (including cover memo):** 8

From: James A. Dodson, Program Facilitator
San Antonio Bay Partnership, Inc.
EIN: 45-4023668

Date: December 17, 2012

RE: Response to request for additional information concerning 1023 application for
determination of tax exempt status

Dear Ms. Jones,

Please find attached a response from the San Antonio Bay Partnership, Inc. (SABP) to your letter, dated December 3, 2012, requesting that SABP provide additional information regarding the application for determination of tax exempt status (Form 1023) submitted on February 24, 2012.

In this response SABP has indicated that, based on your analysis of the sources of revenue anticipated for SABP, it would like to amend its original response in Part X of the Form 1023, wherein it had checked item (g) to apply under Sects. 509(a)(1) and 170(b)(1)(A)(iv), to now check item (h) and apply under Sect. 509(a)(2). Copies of Part X, pages 10 and 11 of the original application, are attached, with the changes being requested indicated on the copy of page 11, and initialed by Dr. Roy Foley, Vice-Chair of the Board of Directors for San Antonio Bay Partnership, Inc.

I appreciate your assistance in correcting this application and am, as always, happy to provide any other information which will support the SABP application.

Thank you,



James A. Dodson
Program Facilitator
San Antonio Bay Partnership

The San Antonio Bay Partnership
405 W. Power Ave.
Victoria, Texas 77901
361-649-1518
jdodson27@gmail.com

Box for IRS use only

Additional Payment

Application Identification Sheet



TEDS Case Number: EO-2012062-000006
Employer Identification Number: 45-4023668
Opening Document Locator Number: 17053-059-33603-2
Form: 1023
Organization Name: SAN ANTONIO BAY PARTNERSHIP INC

Please include a copy of this Application Identification Sheet with any additional correspondence or documents you may submit related to your application. This Application Identification Sheet will enable us to associate the additional correspondence or documents with your application case file quickly and accurately, to facilitate processing of your application.

Please do NOT send a copy of this Application Identification Sheet with a new application. To do so would delay processing of the new application.

Please send any additional correspondence or documents related to your application, along with a copy of this Application Identification Sheet, to:

Internal Revenue Service
P.O. Box 12192
Covington, KY 41012-0192



EO-2012062-000006

Internal Revenue Service
P.O. Box 2508
Cincinnati, OH 45201

Department of the Treasury

Date: December 3, 2012

San Antonio Bay Partnership Inc
C/O James A Dodson
405 W Power Avenue
Victoria, TX 77901-6441

Employer Identification Number:
45-4023668
Person to Contact – Group #:
Ginger Jones - 7821
ID# 203152
Contact Telephone Numbers:
(513) 263-4955 Phone
(859) 669-3783 Fax
Response Due Date:
December 17, 2012

Dear Sir or Madam:

Thank you for the information recently submitted regarding your application for exemption. Unfortunately, we need more information before we can complete our consideration of your application.

Please provide the information requested on the enclosed Information Request by the response due date shown above. Your response must be signed by an authorized person or an officer whose name is listed on the application. Also, the information you submit should be accompanied by the following declaration:

Under penalties of perjury, I declare that I have examined this information, including accompanying documents, and, to the best of my knowledge and belief, the information contains all the relevant facts relating to the request for the information, and such facts are true, correct, and complete.

If we approve your application for exemption, we will be required by law to make the application and the information that you submit in response to this letter available for public inspection. Please ensure that your response doesn't include unnecessary personal identifying information, such as bank account numbers or Social Security numbers, that could result in identity theft or other adverse consequences if publicly disclosed. If you have any questions about the public inspection of your application or other documents, please call the person whose name and telephone number are shown above.

To facilitate processing of your application, please attach a copy of this letter and the enclosed Application Identification Sheet to your response and all correspondence related to your application. This will enable us to quickly and accurately associate the additional documents with your case file. Also, please note the following important response submission information:

- Please don't fax and mail your response. Faxing and mailing your response will result in unnecessary delays in processing your application. Each piece of correspondence submitted (whether fax or mail) must be processed, assigned, and reviewed by an EO Determinations specialist.

San Antonio Bay Partnership Inc
45-4023668

Additional Information Requested:

1. Please read the Penalties of Perjury statement on page 1 above. Then, please sign and date below, indicating you agree to the Declaration.

James A. Dodson
Signature

12-12-12
Date

James A. Dodson
Printed Name

2. On the letter dated, November 6, 2012 you were asked "You stated you are best suited as a public charity under section 509(a)(1) and 170(b)(1)(A)(vi). Organizations described in sections 509(a)(1) and 170(b)(1)(A)(vi) are those which are primarily supported by gifts, grants, and contributions and have broad based public support Organizations described in section 509(a)(2) are those which are primarily supported by fund raising activities in which they sell various items, or if they will receive income from admissions, sales of merchandise or services, or furnishing of facilities. Based on the information you supplied in your application, you plan to solicit financial support from the public and would qualify as a publicly supported organization under 509(a)(2). [If you wish to change your request to sections 509(a)(2), please check the box for item (h) on Page 11 of Form 1023, and have an officer initial and date the change. Please correct Page 10 of your Form 1023 by checking "No" to Item 1 a. Please have an officer initial and date the changes." Your response was "Based on the information provided in response to the questions above, which documents the fact that the San Antonio Bay Partnership, Inc. has broad based public (stakeholder) support (i.e., response to Question 2) and is primarily supported by gifts, grants and contributions (i.e., response to Question), and is not "primarily supported by fund raising activities in which they sell various items, or... income from admissions, sales of merchandise or services, or furnishing of facilities," it would appear that the San Antonio Bay Partnership, Inc. is best suited as a public charity under section 509(a)(1) and 170(b)(1)(A)(vi). The information provided in the application regarding potential sources of income for the San Antonio Bay Partnership, Inc. was intended to reflect that the San Antonio Bay Partnership, Inc. would primarily solicit gifts, grants, and contributions, from both public and private sources, as do most environmental organizations of this type. Incidental income may be generated by occasional fund raising activities such as the T-shirt sales at a recent "San Antonio Bay Day" outreach activity. In this case, the purpose was to cover the cost of the T-shirts as opposed to generating any significant revenues. Unless there is other information which would indicate that requesting a determination under the category of 509(a)(2) organizations is more appropriate to the nature of the organization and its activities, at this time the San Antonio Bay Partnership, Inc. does not wish to change its original request for a determination under section 509(a)(1) and 170(b)(1)(A)(vi). Additional guidance on this issue would be appreciated." When you review page 9 of Form 1023 which is the statement of revenues and expenses you indicated you do not

Yes, wish to change to 509(a)(2); (directed); pgs 10 & 11 attached

San Antonio Bay Partnership Inc
45-4023668

anticipate on receiving any income from gifts, grants, and contributions (line 1) and 100% of your income is from gross receipts from admissions, merchandise sold or services performed or furnishing of facilities in any activity that is related to your exempt purpose (line 9). Based on the information you have provided since you will not receive any income from gifts, grants and contributions it appears you would be better suited as an organization described in section 509(a)(2). If you do wish to change to this section, please provide any law to show your organization is best suited under sections 509(a)(1) and 170(b)(1)(A)(bi).

Agreed, see changes to page 11 of 1023, attached

PLEASE DIRECT ALL CORRESPONDENCE REGARDING YOUR CASE TO:

US Mail:

Street Address for Delivery Service:

Internal Revenue Service
Exempt Organizations
P. O. Box 12192
Covington, KY 41012-0192

Internal Revenue Service
Exempt Organizations
201 Rivercenter Blvd
ATTN: Extracting Stop 312
Covington, KY 41011

Letter 2382 (5-2011)
Catalog Number 57829T

12-17-12

Response:

The San Antonio Bay Partnership, Inc. wishes to amend the original 1023 application, Part X, on pg. 11 to delete the original response wherein item (g) was checked, and to, instead, check item (h), indicating that the organization is applying as a 509(a)(2) organization. Copies of pages 10 and 11 of the original application are attached. ~~Dr.~~ Dr. Roy Foley, Vice-Chair of the Board of Directors for the San Antonio Bay Partnership, Inc. has initialed and dated these changes.

James A. Dodson
James A. Dodson
Facilitator, SABP, Inc
361-649-1518

Part IX Financial Data (Continued)

B. Balance Sheet (for your most recently completed tax year)

Year End: **2011**

Assets		(Whole dollars)
1	Cash	0
2	Accounts receivable, net	0
3	Inventories	0
4	Bonds and notes receivable (attach an itemized list)	0
5	Corporate stocks (attach an itemized list)	0
6	Loans receivable (attach an itemized list)	0
7	Other investments (attach an itemized list)	0
8	Depreciable and depletable assets (attach an itemized list)	0
9	Land	0
10	Other assets (attach an itemized list)	0
11	Total Assets (add lines 1 through 10)	0
Liabilities		
12	Accounts payable	0
13	Contributions, gifts, grants, etc. payable	0
14	Mortgages and notes payable (attach an itemized list)	0
15	Other liabilities (attach an itemized list)	0
16	Total Liabilities (add lines 12 through 15)	0
Fund Balances or Net Assets		
17	Total fund balances or net assets	0
18	Total Liabilities and Fund Balances or Net Assets (add lines 16 and 17)	0
19	Have there been any substantial changes in your assets or liabilities since the end of the period shown above? If "Yes," explain.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

Part X Public Charity Status

Part X is designed to classify you as an organization that is either a **private foundation** or a **public charity**. Public charity status is a more favorable tax status than private foundation status. If you are a private foundation, Part X is designed to further determine whether you are a **private operating foundation**. (See instructions.)

- 1a Are you a private foundation? If "Yes," go to line 1b. If "No," go to line 5 and proceed as instructed. Yes No
If you are unsure, see the instructions.
- b As a private foundation, section 508(e) requires special provisions in your organizing document in addition to those that apply to all organizations described in section 501(c)(3). Check the box to confirm that your organizing document meets this requirement, whether by express provision or by reliance on operation of state law. Attach a statement that describes specifically where your organizing document meets this requirement, such as a reference to a particular article or section in your organizing document or by operation of state law. See the instructions, including Appendix B, for information about the special provisions that need to be contained in your organizing document. Go to line 2.
- 2 Are you a private operating foundation? To be a private operating foundation you must engage directly in the active conduct of charitable, religious, educational, and similar activities, as opposed to indirectly carrying out these activities by providing grants to individuals or other organizations. If "Yes," go to line 3. If "No," go to the signature section of Part XI. Yes No
- 3 Have you existed for one or more years? If "Yes," attach financial information showing that you are a private operating foundation; go to the signature section of Part XI. If "No," continue to line 4. Yes No
- 4 Have you attached either (1) an affidavit or opinion of counsel, (including a written affidavit or opinion from a certified public accountant or accounting firm with expertise regarding this tax law matter), that sets forth facts concerning your operations and support to demonstrate that you are likely to satisfy the requirements to be classified as a private operating foundation; or (2) a statement describing your proposed operations as a private operating foundation? Yes No
- 5 If you answered "No" to line 1a, indicate the type of public charity status you are requesting by checking one of the choices below. You may check only one box.
The organization is not a private foundation because it is:
- a 509(a)(1) and 170(b)(1)(A)(i)—a church or a convention or association of churches. Complete and attach Schedule A.
 - b 509(a)(1) and 170(b)(1)(A)(ii)—a **school**. Complete and attach Schedule B.
 - c 509(a)(1) and 170(b)(1)(A)(iii)—a **hospital**, a cooperative hospital service organization, or a medical research organization operated in conjunction with a hospital. Complete and attach Schedule C.
 - d 509(a)(3)—an organization supporting either one or more organizations described in line 5a through c, f, g, or h or a publicly supported section 501(c)(4), (5), or (6) organization. Complete and attach Schedule D.

Part X Public Charity Status (Continued)

- e 509(a)(4)—an organization organized and operated exclusively for testing for public safety.
- f 509(a)(1) and 170(b)(1)(A)(iv)—an organization operated for the benefit of a college or university that is owned or operated by a governmental unit.
- g 509(a)(1) and 170(b)(1)(A)(vi)—an organization that receives a substantial part of its financial support in the form of contributions from publicly supported organizations, from a governmental unit, or from the general public. Revised 12-12-12
- h 509(a)(2)—an organization that normally receives not more than one-third of its financial support from gross investment income and receives more than one-third of its financial support from contributions, membership fees, and gross receipts from activities related to its exempt functions (subject to certain exceptions).
- i A publicly supported organization, but unsure if it is described in 5g or 5h. The organization would like the IRS to decide the correct status.

6 If you checked box g, h, or i in question 5 above, you must request either an **advance** or a **definitive ruling** by selecting one of the boxes below. Refer to the instructions to determine which type of ruling you are eligible to receive.

- a **Request for Advance Ruling:** By checking this box and signing the consent, pursuant to section 6501(c)(4) of the Code you request an advance ruling and agree to extend the statute of limitations on the assessment of excise tax under section 4940 of the Code. The tax will apply only if you do not establish public support status at the end of the 5-year advance ruling period. The assessment period will be extended for the 5 advance ruling years to 8 years, 4 months, and 15 days beyond the end of the first year. You have the right to refuse or limit the extension to a mutually agreed-upon period of time or issue(s). Publication 1035, *Extending the Tax Assessment Period*, provides a more detailed explanation of your rights and the consequences of the choices you make. You may obtain Publication 1035 free of charge from the IRS web site at www.irs.gov or by calling toll-free 1-800-829-3676. Signing this consent will not deprive you of any appeal rights to which you would otherwise be entitled. If you decide not to extend the statute of limitations, you are not eligible for an advance ruling.

Consent Fixing Period of Limitations Upon Assessment of Tax Under Section 4940 of the Internal Revenue Code

For Organization

.....
(Signature of Officer, Director, Trustee, or other authorized official)

.....
(Type or print name of signer)

.....
(Date)

.....
(Type or print title or authority of signer)

For IRS Use Only

.....
IRS Director, Exempt Organizations

.....
(Date)

- b **Request for Definitive Ruling:** Check this box if you have completed one tax year of at least 8 full months and you are requesting a definitive ruling. To confirm your public support status, answer line 6b(i) if you checked box g in line 5 above. Answer line 6b(ii) if you checked box h in line 5 above. If you checked box i in line 5 above, answer both lines 6b(i) and (ii).

(i) (a) Enter 2% of line 8, column (e) on Part IX-A. Statement of Revenues and Expenses. \$0

(b) Attach a list showing the name and amount contributed by each person, company, or organization whose gifts totaled more than the 2% amount. If the answer is "None," check this box.

(ii) (a) For each year amounts are included on lines 1, 2, and 9 of Part IX-A. Statement of Revenues and Expenses, attach a list showing the name of and amount received from each **disqualified person**. If the answer is "None," check this box.

(b) For each year amounts are included on line 9 of Part IX-A. Statement of Revenues and Expenses, attach a list showing the name of and amount received from each payer, other than a disqualified person, whose payments were more than the larger of (1) 1% of line 10, Part IX-A. Statement of Revenues and Expenses, or (2) \$5,000. If the answer is "None," check this box.

- 7 Did you receive any unusual grants during any of the years shown on Part IX-A. Statement of Revenues and Expenses? If "Yes," attach a list including the name of the contributor, the date and amount of the grant, a brief description of the grant, and explain why it is unusual. Yes No